

MEMORANDUM

(ex-officio)
(ex-officio)

AGENDA

Audits	Area	Estimated Hours	Group Total
Athletics Business Office	Athletics	350	
Facilities Events and Equipment and Tennis Center	Athletics	300	
Office of Visa & Immigration Service Advising	Academic Affairs	350	
Housing and Residence Life	Student Engagement and Enrollment Services	350	
Budget Office	Administration and Finance	350	
University Libraries	Academic Affairs	350	
Cybersecurity: Intrusion Detection and Incident Response	Administration and Finance	400	
Enterprise Service Bus	Administration and Finance	400	
Banner Workflows			

450

Audits Started In Prior Fiscal Years	Area	Estimated Hours	Group Total
Active Directory	Administration and Finance	10	
Information Technology Services	Administration and Finance	100	
Office of Research*	Research	40	
NCAA Compliance (Financial Aid)	Athletics	5	
Design and Construction	Administration and Finance	10	
Academic Affairs	Academic Affairs	140	
Police Department*	Administration and Finance	250	
College of Business	Academic Affairs	200	
Payroll Benefits	Administration and Finance	240	
Special Projects / Consulting	Various	150	

1,145

Total Scheduled Audits

4,985

Activity	Estimated Hours

Cheryl Davis, Executive Secretary to the
President
Velvet Grant, Assistant to the President
John R. Broderick, President

Nina Preston, Senior Auditor
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This audit was performed as part of the University's annual audit plan approved by the Board of Visitors. An annual audit is performed of the Office of the President's transactions to include expenditures for special events held in connection with the Office.

This audit consisted of reviewing transactions from fiscal year 2020 for the following budget codes within the Office of the President: 1PR00, 6PR00, 6PR04, 6PR08, and 6PR62. The categories of transactions reviewed included travel memberships, entertainment in connection with University functions hosted by the President, and the department's small purchase charge cards. Transactional testing was performed and followed with the Office of the President's staff was conducted as necessary.

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Activity and Status of Audit Issues Since September 2020

University Audit Department
November 30, 2020

Activity and Status of Audit Issues Since September 2020

Activity and Status of Audit Issues Since September 2020

Summary Status by Executive Area

Audit Engagement Title	Report Date	# of Issues Closed since 9/01/2020	# of Issues Open as of 11/24/2020
Academic Affairs			
College of Engineering and Technology	3/08/17	0	1
International Programs	8/15/19	1	0
Academic Affairs Interim Report	8/28/20	3	5
Administration and Finance			
Police Department- Annual Fiscal Activities	1/06/20	2	

Activity and Status of Audit Issues Since September 2020

Activity and Status of Audit Issues Since September 2020

ExecutiveArea	Audit Title	Audit Observation	Category	Report Date	Original Due Date	Status
		Closed Session Meeting Activities	Compliance	6/04/20	--	Action Plan Pending
		Presence of Counsel at Open and Closed Meetings Board of off43 (a2 g --66 25.6ge)4s	Compliance	6/04/		Action Plan